

### **BENEFICIAL OWNER AND CONTROLLER GUIDELINES**

In the case of a body corporate, other than a company listed on a regulated market, the beneficial owner includes any individual who:

- exercises ultimate control over the management of the body corporate; or
- ultimately owns or controls (whether through direct or indirect ownership or control, including through bearer share holdings or by other means) more than 25% of the shares or voting rights in the body corporate; or
- otherwise controls the body corporate

For example, if no individual owns or controls more than 25% of the shares or voting rights in the body, firms should use judgement in determining whether an individual owning or controlling a lower percentage exercises effective control.

Guidance on the meaning of other forms of significant influence and control is available for companies: [www.gov.uk/government/news/people-with-significant-control-psc-who-controls-your-company](http://www.gov.uk/government/news/people-with-significant-control-psc-who-controls-your-company)

For applicants who are body corporates or partnerships please provide details below of any natural person(s) that directly own or control more than 25% of the voting rights in the body or partnership or who own or are entitled to more than 25% of its capital or profits.

Should the applicant not be directly owned by a natural person(s), please send details of the ownership structure to us and upon receipt we will advise you of any further information that may be required from you.

Information provided will be validated accordingly and we may be required to obtain further details or documentation from you, depending on the information provided.

**Beneficial Owner and Controller Details (Individuals)**

Name of account holder(s)

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Client reference (if known)

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Name & Title:

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Date of birth:

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Capacity/ies\*:

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%

Name & Title:

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Date of birth:

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Capacity/ies\*:

--

%

Permanent Residential Address:

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Permanent Residential Address:

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\* e.g. Beneficiary, Partner, Shareholder, Director, PSC etc

Name & Title:

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Date of birth:

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Capacity/ies\*:

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%

Name & Title:

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Date of birth:

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Capacity/ies\*:

--

%

Permanent Residential Address:

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Permanent Residential Address:

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\* e.g. Beneficiary, Partner, Shareholder, Director, PSC etc

Please provide any additional information on a separate page.

**Declaration**

All beneficial owners and other associated parties are known to me/us and I/we undertake to make those named above aware that they may be subjected to the same data protection and money laundering verification provisions as outlined in the terms and conditions.

Applicant's Signature

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Applicant's Signature

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Date

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Date

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**Beneficial Owner and Controller Details (Corporate Entities)**

Name of account holder(s)

Client reference (if known)

Corporate name:

Capacity/ies\*:

Corporate name:

Capacity/ies\*:

Business Address:

Business Address:

\* e.g. Corporate Trustee, Director, etc

Corporate name:

Capacity/ies\*:

Corporate name:

Capacity/ies\*:

Business Address:

Business Address:

\* e.g. Corporate Trustee, Director, etc

Please provide any additional information on a separate page.

Declaration

All beneficial owners and other associated parties are known to me/us and I/we undertake to make those named above aware that they may be subjected to the same data protection and money laundering verification provisions as outlined in the terms and conditions.

Applicant's Signature

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Date

Applicant's Signature

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Date