

Electronic Re-Registration Rejection Reasons



Rejection Reason	Meaning	Next steps
Acq A/C name incorrect	Acquiring account name provided is incorrect	Verify the acquiring account name and resubmit
Acq A/C number incorrect	Acquiring account number provided is incorrect	Verify the acquiring account number and resubmit
Additional client ML verification info req	AML documents required for the additional client(s) on the ceding account	Please arrange for AML verification to be submitted and resubmit once the account has been updated
Asset cannot be re-registered electronically	The ISIN(s) requested are not available for electronic transfers or the fund has authority to deal required	Please manually submit the request
Ceding A/C name incorrect	Ceding account name provided is incorrect	Verify the ceding account name and resubmit
Ceding A/C number incorrect	Ceding account number provided is incorrect	Verify the ceding account number and resubmit
Designation doesn't match	The designation provided is incorrect	Verify the designation and resubmit
Fund is not valid for Settlement Venue Stock Transfer	Fund is not available for electronic transfers	Please manually submit the request by post
Insufficient units found	There are not enough settled units held to complete the requested transfer	Verify the amount of settled units held and resubmit
Number of decimal places specified for units is not valid for the fund	The decimal places requested exceeds the decimal places of the fund	Please obtain the correct amount of decimal places for the fund and resubmit
Number of group 1 units requested exceeds number of group 1 units available	The ceding account does not hold sufficient group 1 units	Please obtain the correct group 1 and 2 split and resubmit
Number of group 2 units requested exceeds number of group 2 units available	The ceding account does not hold sufficient group 2 units	Please obtain the correct group 1 and 2 split and resubmit
Other Individual Investor Client Details are not valid for Account Id provided (for client {0})	The details provided for the 1st additional client are incorrect	Please verify the 1st additional client details and resubmit
Other Individual Investor Client Details are not valid for Account Id provided (for client 1)	The details provided for the 2nd additional client are incorrect	Please verify the 2nd additional client details and resubmit
Other Individual Investor Client Details are not valid for Account Id provided (for client 2)	The details provided for the 3rd additional client are incorrect	Please verify the 3rd additional client details and resubmit
Primary client DoB doesn't match	The date of birth provided is incorrect	Verify the date of birth and resubmit
Primary Client Forename does not match our records	The forename of the primary client is incorrect	Verify the forename and resubmit
Primary client ML verification info req	AML documents required for the primary client on the ceding account	Please arrange for AML verification to be submitted and resubmit once the account has been updated
Primary client NINO doesn't match	The national insurance number provided is incorrect	Verify the national insurance number and resubmit
Primary client postcode incorrect	The postcode provided is incorrect	Verify the postcode and resubmit
Primary Client Surname does not match our records	The surname of the primary client is incorrect	Verify the surname and resubmit
Product type not found for this client	The product type requested is not hold in the account	Please verify the product that is held in the account and resubmit
Receiving identification (***BIC***) information not recognised	BIC provided for acquiring party is incorrect	Please obtain the correct BIC and resubmit
Transferor has not completed ML Verification	AML documents required for the ceding account	Please arrange for AML verification to be submitted and resubmit once the account has been updated