

Mr AB Sample  
123 Sample Street  
Sample Town  
Sample County  
AB12 3CD

**Client Money Statement**  
Client ID: 12345678  
Client Name: Mr AB Sample  
Date: 15<sup>th</sup> September 2014

Dear Mr Sample

Further to regulatory requirements, please see below for your annual Client Money statement.

***ABC Unit Trust Managers Ltd***

<b>Client Money Type</b>	<b>Currency</b>	<b>Value</b>
Unclaimed Distribution Monies	GBP	3.97
Investment Trust Switch Monies	GBP	14,542.80

Please note these figures are correct as at 14<sup>th</sup> September 2014.

**Please see overleaf for the guide to your Client Money Statement.**

If you have any questions about your statement, please contact us at [enquiry@ABC.co.uk](mailto:enquiry@ABC.co.uk). Alternatively you can call us on freephone 0800 123 4567; or if you're calling from abroad +44 (0) 0800 123 4567. We're here Monday to Friday, between 8.30am and 6.00pm (excluding Bank Holidays).

Yours sincerely



John Doe  
Head of ABC Unit Trust Managers Ltd

## **Guide to your Client Money Statement**

To protect your money, we keep it separate from the assets of our company. Your statement shows money held in our Client Money account on the specified date. This represents your money that is currently held outside of your investment. The client money type is explained in more detail below.

### **Frequently Asked Questions**

**Q. Why have you sent me this statement?**

A. We will send you a statement at least annually if you hold a Client Money balance on the specified date that the statement is produced. You can also request this on an ad hoc basis.

**Q. How is my money protected?**

A. Your money is retained in a Client Money account which is separate from assets of our company. This is in accordance with the Financial Conduct Authority (FCA) regulations.

**Q. Has this money now been invested/paid out?**

A. Your statement shows the balance in the Client Money account on the specified date. **Your money may have been held in this account temporarily whilst awaiting investment or payment. You may have already received a cheque in payment for this amount or received a contract note confirming the investment.**

**Q. Will I receive interest on this money?**

A. No. Our Client Money account does not generate interest.

**Q. How does this affect me, do I need to take any action?**

If you have not received payment or confirmation of investment, you may still hold a balance in our account.

### **Client Money Descriptions**

<b>Distribution Monies</b>	Monies in relation to an income distribution payment from a Collective Investment Scheme fund
<b>Investment Trust Distributions</b>	Monies in relation to an income distribution payment from an Investment Trust fund
<b>Historical Monies</b>	Long Term dormant monies held
<b>Investment Trust Residual Cash</b>	Residual money held from a previous Investment Trust transaction
<b>Investment Trust Monies</b>	Monies held whilst awaiting payment to you or investment with us for an Investment Trust transaction
<b>Exceptions</b>	Monies held pending investigation, identification and resolution, or payments issued but not cashed
<b>Aged Payments</b>	Returned redemption payments or those remaining uncashed after 6 months
<b>Outstanding Payments</b>	Redemption payments issued but not cashed
<b>Unsettled Investment Monies</b>	Monies held whilst awaiting investment with us for a Collective Investment Scheme transaction
<b>Investment Trust Switch Monies</b>	Monies held in the course of effecting a switch of product
<b>Uninvested Monies</b>	Monies held awaiting placing of an investment
<b>Unclaimed Distribution Monies</b>	Monies in relation to an income distribution payment outstanding after 6 months
<b>Cash Holding Facility</b>	Uninvested cash held
<b>ISA Monies</b>	Monies held whilst awaiting payment to you or investment with us for an ISA transaction